

Investment Application for Individuals
STEP 1: Understanding your investment

Before you invest:

- Read the **Hedge Unit Trust Information Document** to ensure you understand the benefits and terms associated with your investment (you will need to acknowledge that you have read and understood this document when completing your application)
- Read the **Portfolio Characteristics and Objectives Document** which contains important information related to the Portfolio selection available to you.

It is important to note that because the Administrator does not provide financial advice, you are able to appoint an approved and licensed Financial Advisor (provided they have a contract with us), should you require guidance with your Portfolio selection.

STEP 3: Process payment

Please transfer your initial investment contribution into the below trust account:

Account Name:	PAI PRIME
Account Type:	Current
Bank:	Standard Bank
Branch:	Hyde Park
Branch Number:	051001
Account Number:	0000610175467
Reference Code:	Investor ID No./Passport No.

STEP 5: Look forward to your Investment Confirmation

- Please take note of the processing timelines pertaining to your investment instruction/s as set out in the **Hedge Unit Trust Information Document**
- Please also be aware that we will only be able to process your investment once all related investment documents have been received and your investment reflects in the trust bank account
- You will receive a confirmation from us once we have received a complete set of investment documentation, as well as an investment confirmation once your contribution has been invested.

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STEP 2: Complete your application

Please complete all fields to avoid delays in processing your investment.

STEP 4: Send us your documents

Email: save@primeinvestments.co.za
 or contact us on +27 (0) 10 594 2100

Please include:

- Completed application for individuals
- A signed **Portfolio Characteristics and Objective Document**
- A copy of a South African bar coded ID, valid passport (if foreign national), or birth certificate (if minor), for the Investor and authorised representative (where applicable)
- Proof of address (not older than 3 months), for the Investor and authorised representative (where applicable). Please refer to our **Acceptable Forms of Verification document** for further information
- Proof of your bank details (cancelled cheque, bank statement, letter from the bank etc.), not older than 3 months
- Proof of tax registration (required for all investors including minors)
- Proof of payment of your investment contribution
- Dividend Withholdings Tax Exemption Form (if applicable).

Prime Alternative Investments (RF) (Pty) Ltd, ("the Manager") manages the Prime Alternative Investment Scheme (CIS) and is registered with the Financial Services Board in terms of the Collective Investment Schemes Control Act No.45 of 2002.

Global Independent Administrators (Pty) Ltd is the administrator of your UT investment and is an authorised Financial Services Provider (FSP No: 42255)

Prime Asset Managers is an Investment Manager who is an authorised Financial Services Provider (FSP No: 33933)

COLLECTIVE INVESTMENT SCHEMES (HEDGE UNIT TRUSTS)

1. Investor Details

Please provide us with your personal details/details of the Investor (if applying on behalf of someone else).

Title	<input type="text"/>	First Names	<input type="text"/>
Surname	<input type="text"/>	Date of Birth	<input type="text"/> D <input type="text"/> D <input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y
ID or Passport Number (if foreign national)	<input type="text"/>		
Physical Address	<input type="text"/>		
	<input type="text"/>		
	<input type="text"/>	Code	<input type="text"/>
Postal Address	<input type="text"/>		
	<input type="text"/>		
	<input type="text"/>	Code	<input type="text"/>
Tel (Home)	<input type="text"/>	Tel (Mobile)	<input type="text"/>
Tel (Work)	<input type="text"/>	Fax	<input type="text"/>
Email	<input type="text"/>		

Are you a South African Resident? Yes No

If "No" what is your country of residency?

Please note that income tax numbers are required for all Investors (irrelevant of age and/or occupation).

South African Income Tax No.

Are you Exempt from Dividend's Withholding Tax? Yes No

If "Yes" please complete the **Dividend Withholdings Tax Exemption Form** and submit to the Administrator with your investment application documentation.

Are you registered for tax purposes anywhere other than South Africa? Yes No

If "Yes" please list the country or countries in which you are registered for tax purposes, together with the relevant Tax number (eg : Tax Identification Number (TIN) if you are an American tax payer).

Country of Tax Residency	Tax Number

Are you a Financial Institution e.g. stock broker, bank, etc? Yes No

If "Yes" please provide the Global Intermediary Identification Number (GIIN).

2. Details of Person Acting on Behalf of the Investor

Please provide full details of the person who is authorised to act on behalf of the Investor together with proof of the authority/appointment.

Capacity in which the person is authorised (*Please provide proof of this authority when you submit your investment documentation*):

Curator Guardian Executor of Estate Power of Attorney Discretionary Financial Advisor

Title First Names

Surname

ID or Passport Number (if foreign national)

Physical Address

 Code

Tel (Home) Tel (Mobile)

Tel (Work) Fax

Email

3. Investment Details

Please include a signed copy of the **Portfolio Characteristics and Objectives Document** when you submit this application.

Minimum Investment Amounts

Lump Sum Amounts into Retail Investor Hedge Portfolios: **R 50 000 in total across all Portfolios**

Lump Sum Amount into Qualified Investor Hedge Portfolios: **R 1 million per Portfolio**

Debit Order Amounts into Retail Investor Hedge Portfolios: **R 500 per month**

No debit order will be accepted into a Qualified Investor Hedge Fund

Please confirm how you will be making payment Electronic Funds Transfer (Internet) Cheque Deposit

Source of Funds Savings Bonus Inheritance Salary Other

If other, please provide further details

Total Investment Amount R Total Debit Order Amount R

Investment Portfolio Choice

Investment Portfolio Name	Once-off investment %	Recurring Debit Order % (Please complete Debit Order Authority in 4 below)
	%	%
	%	%
	%	%
	%	%
	%	%
	%	%
	100 %	100 %

Phase In's

Do you require a Phase-in? Yes No If "Yes" please complete the section below:

Please note that Phase-in transactions will only be made from the daily dealing Portfolios.

Amount to be Phased-in from R

OR Phase-in total value within

Phase in period: 3 months 6 months 9 months 12 months

Portfolio Name	Class	Phase-in Percentage (%)

If you require a regular withdrawal, please submit a completed **Regular Withdrawal Form** with this application.

Income and Expense Account

You can choose to have your annual administration fee, Financial Advisor and regular withdrawal deduction from a nominated Income and Expense account.

Should you elect a monthly dealing fund it is compulsory for you to hold funds in a liquid Portfolio from which all fees will be deducted.

Do you require an Income and Expense account? Yes No

If "Yes", please confirm the Portfolio that is to be the income and expense account

If the Income and Expense Account does not have sufficient funds at the time that annual fees and regular withdrawal payments are deducted, annual fees and regular withdrawals will be deducted proportionally from the remaining investment portfolios in the investment account.

4. Debit Order Authority

Commencement Date

Preferred Collection Date 1st of month 25th of month

Annual Debit Order Escalation % 0% 5% 10% 15% 20%

Debit Order Collection Frequency Monthly Quarterly Bi-Annually Annually

Account holder Information

Account Name

Account No. Bank

Branch Branch Code

Type of Account Current Savings Transmission

Is the above account the account of the Investor Yes No

If "No" please include:

- Copy of the ID/Passport of an individual account holder
 - Copy of ID's/Passports of authorised parties on the account for legal entities.
1. You hereby instruct and authorise the Administrator to collect the amount on the frequency noted above from your bank account specified above. Furthermore you understand that should your preferred collection date fall on a Saturday, Sunday or Public Holiday, the amount will be debited the first working day thereafter.

- You understand that you may cancel this authority by providing written notice 10 working days prior to your preferred collection date.
- You agree to pay any bank charges and costs relating to this debit order authority. You declare that all funds invested are not the proceeds of unlawful activities.
- You acknowledge that the party hereby authorised to effect the drawing(s) against your account may not cede or assign any of its rights to any third party without your prior written consent and that you may not delegate any of your obligations in terms of this contract/authority to any third party without prior written consent of the authorised party.
- Should you wish to withdraw your investment it's important to note that debit orders have a 45 calendar day clearance period.

Signature of Account Holder

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5. Investor's Banking Details

Please attach proof of banking details when submitting this application.

Account Name	<input type="text"/>		
Account No.	<input type="text"/>	Bank	<input type="text"/>
Branch	<input type="text"/>	Branch Code	<input type="text"/>
Type of Account	Current <input type="checkbox"/>	Savings <input type="checkbox"/>	Transmission <input type="checkbox"/>

6. Fees

(a) Initial Fees (Excl. Vat) – These fees are deducted before the investment into your selected portfolios.

Financial Advisor Fee: Lump Sum Investments % Per Debit Order %

(b) Annual Fees (Excl. Vat) – These fees are deducted monthly, proportionately from your investment balance by selling units.

Financial Advisor Fee %

7. Financial Advisor Details

Please only complete the section below if you have appointed an approved Financial Services Provider as your Financial Advisor?

The FSP is appointed by the Investor with: No Discretion *Full Discretion

**If the FSP holds a 'Category II' licence with the Financial Services Board (FSB), it is licensed to exercise discretion and submit instructions on your behalf. To do this for all your investments the FSP will need to enter into a signed mandate (instruction) from you.*

Financial Advisor Name	<input type="text"/>		
Financial Advisor Code	<input type="text"/>		
Tel (Mobile)	<input type="text"/>	Tel (Work)	<input type="text"/>
Tel (Fax)	<input type="text"/>		
Email	<input type="text"/>		

Declaration to be completed by the Financial Services Provider

- I declare that all the information contained in this application was obtained from the Investor and was completed in his/her presence.
- I hereby confirm that I am appropriately and timeously registered in terms of the Financial Advisory and Intermediary Services Act 2002 (FAIS Act) to act as the Member's Financial Advisor provider on record.
- I warrant that I have either established and verified the identity of all Investors in accordance with section 21 of the Financial Intelligence Centre Act No 38 of 2001 ("FICA"), or that in terms of my rules and procedures ordinarily applied in the course of establishing business relationships or concluding single transactions, I will have established and verified, in accordance with section 21 of FICA, the identity of every Investor on whose behalf I will be establishing business relationships or conducting

single transactions with the Administrator or that I have, where it has not established and verified the identify of any Investor, been exempted from having to do so by another (the primary) accountable institution and that I will or have obtained a written undertaking from the primary accountable institution to this effect. I further warrant that I will keep records of such identification in accordance with Section 22 of FICA or, where it has not established and verified the identity of Investors, another (the primary) accountable institution has provided me/us with an undertaking that it will keep the requisite records.

4. I authorise the Administrator to accept instructions by facsimile or e-mail and hereby waive any claim that I may have against the Administrator and indemnify the Administrator against any loss incurred as a result of the Administrator receiving and/or acting upon such communication. The Administrator will not be held responsible for any failure, malfunction or delay of any networks or electronic or mechanical device or any other form of communication used in the submission, acceptance and processing of application and/or transactions. The Administrator will not be liable to make good or compensate any Investor or third party for any damages (whether direct or consequential), losses, claims or expenses resulting there from. The Investor or any third party indemnifies the Administrator accordingly.

Signature of Financial Advisor

D	D	M	M	Y	Y	Y	Y
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8. Investor/Authorised Party Declarations

1. I/We have read, understand and agree to be bound by the provisions of this application.
2. I/We understand/warrant/confirm that:
 - The information contained herein is correct, and that, if this application is signed in a representative capacity, I/we have the necessary authority to do so and that this transaction is within my/our powers
 - The Administrator reserves the right to request any additional evidence to identify the source of this investment
 - The Administrator shall, at its discretion, have the option to pay or collect any amount (provided that I/we owe this amount to the Administrator) through the Automated Clearing Bureau or Electronic Funds Transfer, or by direct debit or credit against my/our bank by means of a debit or credit note addressed to my/our bankers. Any amounts so received by the Administrator will be deemed not to have been received by the Administrator and no transaction in respect of such application may be made until the amount of the debit order, cheque or EFT payment has been unconditionally credited to the Administrator account with its bankers
 - Any variations to the terms of this agreement made by my/our Financial Advisor or me/us will only be binding if accepted in writing by the Administrator. No act or omission will be construed as an acceptance of a variation to this agreement
 - All monies deposited in the applicable Nominee Trust account for this investment, were obtained from legitimate sources. I/We further warrant that I/we have complied and will continue to comply with all relevant legislation, including, but not limited to money laundering and income tax legislation
 - This application, Dividend Withholdings Tax Exemption Form and Portfolio Characteristics and Objectives Document together with all the information contained therein shall form part of the my/our contract with the Administrator
 - I/We have read and understood all relevant documentation associated with this investment.
3. I am/We are acting for my/our own account and that I/we have made my/our independent decisions to enter into the investment and as to whether the investment is appropriate or proper for me/us, based upon my/our own judgment, and upon advice from such advisors as I/we may deem necessary. I/we warrant that I am/we are not relying on any communication from the Administrator, whether written, oral or implied as investment advice or as a recommendation to enter into the investment. I/We understand that information and explanations relating to the terms of an investment shall not be considered investment advice or a recommendation to enter into the investment. I/we warrant that I/we have not received from the Administrator any assurance or guarantee as to the expected return on this investment; I/we indemnify and hold the Administrator harmless against any claim of whatsoever nature, which I/we may have resulting from conducting business telephonically, via the online services (including e-mail), or by way of facsimile. I/We hereby consent to the Administrator taking any security precautions it may deem necessary for it to proceed with my/our application.
4. I/ We understand that should I/we be acting on behalf of the Investor, I/we warrant that I/we am/are legally entitled to do so. Furthermore, both the Investor and I/we indemnify the Administrator from carrying out instructions submitted by me/us in relation to this investment.
5. In support of environmental awareness, I/we hereby consent to the Administrator distributing all relevant communication to me/us via e-mail. I/we indemnify the Administrator for the security of information sent by e-mail at my/our request, for the proper and complete transmission of the information contained in the communication and for any delay in its receipt.
6. I/we authorise the Administrator to accept instructions by facsimile or e-mail and hereby waive any claim that I/we may have against the Administrator and indemnify the Administrator against any loss incurred as a result of the Administrator receiving and/or acting upon such communication. The Administrator will not be held responsible for any failure, malfunction or delay of any networks or electronic or mechanical device or any other form of communication used in the submission, acceptance and processing of application and/or transactions. The Administrator will not be liable to make good or compensate any Investor or third party for any damages (whether direct or consequential), losses, claims or expenses resulting there from. The Investor or any third party indemnifies the Administrator accordingly.
7. The Administrator's complaints procedure is available on the website and/or available on request.
8. I/we accept and bind myself/ourselves to the terms and procedural conduct within the **Hedge Unit Trust Information Document**, which is available on the Administrator's website or from my/our Financial Advisor.

9. I/we understand and agree to pay all the charges and investment fees applicable to this investment. Furthermore, I/we understand that the Administrator of the underlying Investment Portfolios will levy an Annual Portfolio Management Fee and Initial Management Fee (if applicable). The full lists of Portfolio fees are available within the **Portfolio Characteristics and Objectives Document**.
10. I/we understand that until the Administrator has received all necessary documentation and monies, the investment will not commence.
11. I have not received advice from the Administrator or any of its representatives regarding this investment.

Signed at (Place)

Signature of Investor

Full name of Authorised Representative

Signature of Authorised Representative